## A New Error and Erasure Decoding Approach for Cyclic Codes

Alexander Zeh<sup>1</sup> and Sergey Bezzateev<sup>2</sup>

<sup>1</sup> Institute of Communications Engineering Ulm University, Germany

Research Center INRIA Saclay - Île-de-France, École Polytechnique ParisTech, France

<sup>2</sup> Saint Petersburg State University of Airspace Instrumentation, St. Petersburg, Russia

## Abstract

A cyclic code is associated with another cyclic code to bound its minimum distance. The algebraic relation between these two codes allows the formulation of syndromes and a key equation. We outline the decoding approach for the case of errors and erasures and show how the Extended Euclidean Algorithm can be used for decoding.

## I. NON-ZERO-LOCATOR CODE

We relate another cyclic code — the so-called non-zero-locator code  $\mathcal{L}$  — to a given cyclic code  $\mathcal{C}$ . The obtained bound  $d^*$  on the minimum distance d of  $\mathcal{C}$  can be expressed in terms of parameters of the associated non-zero-locator code  $\mathcal{L}$ .

Let us establish a connection between the codewords c(x) of a given cyclic code C and a sum of power series expansions. Let c(x) be a codeword of a given q-ary cyclic code C(q; n, k, d) and let  $\mathcal{Y}$  denote the set of indexes of non-zero coefficients of  $c(x) = \sum_{i \in \mathcal{Y}} c_i x^i$ . Let  $\alpha \in \mathbb{F}_{q^s}$  be an element of order n. Then we have the following relation for all  $c(x) \in C(q; n, k, d)$ :

$$\sum_{j=0}^{\infty} c(\alpha^j) x^j = \sum_{j=0}^{\infty} \sum_{i \in \mathcal{Y}} c_i \alpha^{ji} x^j = \sum_{j=0}^{\infty} \sum_{i \in \mathcal{Y}} c_i (\alpha^i x)^j = \sum_{i \in \mathcal{Y}} \frac{c_i}{1 - x \alpha^i}.$$
 (1)

Now, we can define the non-zero-locator code.

**Definition 1** (Non-Zero-Locator Code). Let a q-ary cyclic code C(q; n, k, d) be given. Let  $\mathbb{F}_{q^s}$  contain the nth roots of unity. Let  $gcd(n, n_\ell) = 1$  and let  $\mathbb{F}_{q_\ell} = \mathbb{F}_{q^t}$  be an extension field of  $\mathbb{F}_q$ . Let  $\mathbb{F}_{q_\ell^{s_\ell}}$  contain the  $n_\ell$ th roots of unity. Let  $\alpha \in \mathbb{F}_{q^s}$  be an element of order n and let  $\beta \in \mathbb{F}_{q_\ell^{s_\ell}}$  be an element of order  $n_\ell$ .

Then  $\mathcal{L}(q_{\ell}; n_{\ell}, k_{\ell}, d_{\ell})$  is a non-zero-locator code of  $\mathcal{C}$  if there exists a  $\mu \geq 2$  and an integer e, such that  $\forall a(x) \in \mathcal{L}$ and  $\forall c(x) \in \mathcal{C}$ :

$$\sum_{j=0}^{\infty} c(\alpha^{j+e}) a(\beta^j) x^j \equiv 0 \mod x^{\mu-1},$$
(2)

holds.

**Theorem 1** (Minimum Distance). Let a q-ary cyclic code C(q; n, k, d) and its associated non-zero-locator code  $\mathcal{L}(q_{\ell}; n_{\ell}, k_{\ell}, d_{\ell})$  with  $gcd(n, n_{\ell}) = 1$  and the integer  $\mu$  be given as in Definition 1. Then the minimum distance d of C(q; n, k, d) satisfies the following inequality:

$$d \ge d^* \stackrel{\text{def}}{=} \left\lceil \frac{\mu}{d_\ell} \right\rceil,\tag{3}$$

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Let the set  $\mathcal{E} = \{i_0, i_1, \dots, i_{\varepsilon-1}\}$  with cardinality  $|\mathcal{E}| = \varepsilon$  be the set of erroneous positions. The corresponding error polynomial is denoted by  $e(x) = \sum_{i \in \mathcal{E}} e_i x^i$ . Let "?" mark an erasure and let the set  $\mathcal{D} = \{j_0, j_1, \dots, j_{\delta-1}\}$  with cardinality  $|\mathcal{D}| = \delta$  be the set of erased positions. Let the received polynomial  $\tilde{r}(x) = \sum_{i=0}^{n-1} \tilde{r}_i x^i$  with  $\tilde{r}_i \in \mathbb{F}_q \cup \{?\}$ .

In the first step of the decoding process, the erasures in  $\tilde{r}(x)$  are substituted by an arbitrary element from  $\mathbb{F}_q$ . For simplicity, it is common to choose the zero-element. Thus, the corresponding erasure polynomial in  $\mathbb{F}_q[x]$  is denoted by  $d(x) = \sum_{i \in \mathcal{D}} d_i x^i$ , where  $\tilde{r}_i + d_i = c_i + d_i = 0$ ,  $\forall i \in \mathcal{D}$ . Let the modified received polynomial  $r(x) \in \mathbb{F}_q[x]$  be  $r(x) = \sum_{i=0}^{n-1} r_i x^i = c(x) + d(x) + e(x)$ .

**Definition 2** (Syndromes). Let a q-ary cyclic code C(q; n, k, d), its associated non-zero-locator code  $\mathcal{L}(q_{\ell}; n_{\ell}, k_{\ell}, d_{\ell})$ with  $gcd(n, n_{\ell}) = 1$ , the integers  $\mu$ , e and the modified received polynomial  $r(x) \in \mathbb{F}_q[x]$  of (??) be given. Then we define a syndrome polynomial  $S(x) \in \mathbb{F}_{q^r}[x]$  as follows:

$$S(x) \stackrel{\text{def}}{\equiv} \sum_{j=0}^{\infty} r(\alpha^{j+e}) a(\beta^j) x^j \mod x^{\mu-1}.$$
(4)

Since we know the positions of the erasures, we can compute an erasure-locator polynomial.

**Definition 3** (Erasure-Locator Polynomial). Let the set  $\mathcal{D}$  with  $|\mathcal{D}| = \delta$  and a codeword  $a(x) = \sum_{i \in \mathcal{Z}} a_i x^i \in \mathcal{L}(q_\ell; n_\ell, k_\ell, d_\ell)$  with weight  $d_\ell$  be given. Here  $\mathcal{Z}$  denotes the support of a(x). Then we define an erasure-locator polynomial  $\Psi(x) \in \mathbb{F}_{q^r}[x]$  as follows:

$$\Psi(x) \stackrel{\text{def}}{=} \prod_{i \in \mathcal{D}} \Big( \prod_{j \in \mathcal{Z}} \left( 1 - x \alpha^i \beta^j \right) \Big).$$
(5)

Note that  $\Psi(x)$  has degree  $\delta \cdot d_{\ell}$ . As in Forney's original approach we define a modified syndrome polynomial  $\widetilde{S}(x)$  and point out (in the following lemma), which coefficients of  $\widetilde{S}(x)$  depend only on the error  $e_{i_0}, e_{i_1}, \ldots, e_{i_{\varepsilon-1}}$ .

**Lemma 1** (Modified Syndrome Polynomial). Let the erasure-locator polynomial  $\Psi(x)$  of Definition 3 and the syndrome polynomial S(x) of Definition 2 be given. Then the highest  $\mu - 1 - \delta \cdot d_{\ell}$  coefficients of

$$\widetilde{S}(x) \stackrel{\text{def}}{\equiv} \Psi(x) \cdot S(x) \mod x^{\mu-1}$$
 (6)

depend only on the error polynomial e(x).

Similar to the erasure-locator polynomial, we define an error-locator polynomial as follows:

$$\Lambda(x) \stackrel{\text{def}}{=} \prod_{i \in \mathcal{E}} \Big( \prod_{j \in \mathcal{Z}} \left( 1 - x \alpha^i \beta^j \right) \Big).$$
(7)

Let  $\widetilde{\Omega}(x) \stackrel{\text{def}}{=} \Omega(x) \cdot \Psi(x) + A(x) \cdot \Lambda(x)$  and with (6) and (7), we obtain the following *Key Equation*:

$$\widetilde{S}(x) \equiv \frac{\widetilde{\Omega}(x)}{\Lambda(x)} \mod x^{\mu-1}, \text{ with } \quad \frac{\deg \Lambda(x)}{\deg \widetilde{\Omega}(x)} = \varepsilon \cdot d_{\ell} \\ \left( \varepsilon + \delta \right) \cdot d_{\ell} - 1.$$
(8)

**Lemma 2** (Solving the Key Equation). Assume  $\delta < d^* - 1$  erasures occurred. Let  $\widetilde{S}(x)$  with deg  $\widetilde{S}(x) \le \mu - 2$  as in (6) be given. If

$$\varepsilon = |\mathcal{E}| \le \left\lfloor \frac{d^* - 1 - \delta}{2} \right\rfloor,\tag{9}$$

then there exists a unique solution of (8) and we can use the EEA with the input polynomials  $r_{-1}(x) = x^{\mu-1}$  and  $r_0(x) = \tilde{S}(x)$  to find it. Furthermore, we have the following stopping rule for the EEA: We stop, if the remainder polynomial  $r_i(x)$  in the *i*th step of the EEA fulfills:

$$\deg r_{i-1}(x) \ge \frac{\mu - 1 + \delta \cdot d_{\ell}}{2} \quad and \quad \deg r_i(x) \le \frac{\mu - 1 + \delta \cdot d_{\ell}}{2} - 1.$$
(10)

Then the EEA returns the error-locator polynomial  $\Lambda(x)$  as in (7) and the error/erasure-evaluation polynomial  $\widetilde{\Omega}(x) = \Omega(x) \cdot \Psi(x) + A(x) \cdot \Lambda(x)$  as in (8).