

# The Riemann-Roch problem for divisors on two classes of surfaces

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## Introduction

- Given a divisor  $D$  on a curve  $C$ , the Riemann-Roch problem for  $D$  is the problem of calculating the dimension and determining a basis for the space of functions  $L(C, nD)$  in terms of  $n$ .
- We will consider the analogous problem on certain classes of surfaces: Given a formal linear combination  $mD_1 + nD_2$  of curves on a surface  $X$ , we calculate the dimension and determine a basis of the space of functions  $H^0(X, mD_1 + nD_2)$  in terms of  $m$  and  $n$ .
- We consider the two cases:  $X = C \times C$  and  $X = \text{Sym}^2(C)$  where  $C$  is a hyperelliptic curve of genus  $g \geq 2$ .

## Definitions: Square of the curve

- $k$  a field of characteristic not 2.
- $C$  a hyperelliptic curve of genus  $g \geq 2$ .
- $C^2 = C \times C$  the square of  $C$ .
- $D_\infty = 2(\infty)$  or  $D_\infty = (\infty^+) + (\infty^-)$  depending on whether  $C$  has one or two points at infinity.
- $\infty \in C(\bar{k})$
- $V_\infty = \{\infty\} \times C$  the vertical embedding of  $C$  in  $C^2$ .
- $H_\infty = C \times \{\infty\}$  the horizontal embedding of  $C$  in  $C^2$ .
- $F = 2(V_\infty + H_\infty)$ .
- $\Delta$  and  $\nabla$  the diagonal and antidiagonal embeddings of  $C$  in  $C^2$ ; let  $D_\nabla$  be the image of  $D_\infty$  on  $\nabla$ .

## Definitions: Symmetric square of the curve

- $S = C^2 / \langle \sigma \rangle$  the symmetric square of  $C$  and

$$\pi: C^2 \rightarrow S$$

is the quotient map.

- $\Delta_S = \pi(\Delta)$ ,
- $\nabla_S = \pi(\nabla)$  and
- $\Theta_S = \pi(V_\infty) = \pi(H_\infty)$  are the (scheme-theoretic) images under the quotient map.

Subgroups of  $\text{Div}(C^2)$  and  $\text{Div}(S)$ 

Let  $m$  and  $r$  be non-negative integers.

- $V_\infty$ ,  $H_\infty$  and  $\nabla$  are linearly independent in  $\text{Div}(C^2)$ .
- We will consider the divisors of the form  $mF + r\nabla$  in  $\text{Div}(C^2)$  (where  $F = 2(V_\infty + H_\infty)$ ).
- Divisors of this form don't span  $\text{Div}(C^2)$ .
- There is a relation

$$F \sim \Delta + \nabla$$

coming from the function  $x_1 - x_2$  on  $C^2$  where  $k(C^2) = k(x_1, y_1, x_2, y_2)$ .

## Subgroups of $\text{Div}(C^2)$ and $\text{Div}(S)$

Let  $m$  and  $r$  be non-negative integers.

- $\Theta_S$  and  $\nabla_S$  are linearly independent in  $\text{Div}(S)$ .
- We will consider divisors of the form  $2m\Theta_S + r\nabla_S$  in  $\text{Div}(S)$ .
- Divisors of this form don't span  $\text{Div}(S)$ .
- There is a relation

$$4\Theta_S \sim 2\Delta_S + 2\nabla_S$$

coming from the function  $(x_1 - x_2)^2$  on  $S$ .

# Fundamental exact sequence

Throughout we fix  $\gamma = g - 1$ .

Let  $m$  and  $r$  be non-negative integers. Then

$$\begin{aligned} 0 &\rightarrow \mathcal{O}_{C^2}(mF + (r-1)\nabla) \\ &\rightarrow \mathcal{O}_{C^2}(mF + r\nabla) \\ &\rightarrow \mathcal{O}_{\nabla}((2m - \gamma r)D_{\nabla}) \rightarrow 0 \end{aligned}$$

is an exact sequence (because

$$\mathcal{O}_{C^2}(mF + r\nabla) \otimes \mathcal{O}_{\nabla} \cong \mathcal{O}_{\nabla}((2m - \gamma r)D_{\nabla})).$$

We thus obtain a long exact sequence of cohomology

$$\begin{aligned} 0 \rightarrow H^0(C^2, mF + (r-1)\nabla) \\ \rightarrow H^0(C^2, mF + r\nabla) \\ \rightarrow H^0(\nabla, (2m - \gamma r)D_\nabla) \\ \rightarrow H^1(C^2, mF + (r-1)\nabla) \\ \rightarrow H^1(C^2, mF + r\nabla) \\ \rightarrow H^1(\nabla, (2m - \gamma r)D_\nabla) \rightarrow \dots \end{aligned}$$



## The easy cases

- If  $2m - \gamma r < 0$ , then  $H^0(\nabla, (2m - \gamma r)D_\nabla) = 0$ , so

$$H^0(C^2, mF + (r - 1)\nabla) \cong H^0(C^2, mF + r\nabla).$$

- If  $2m - \gamma r > 0$ , then  $H^1(C^2, mF + (r - 1)\nabla) = 0$ , so

$$\begin{aligned} H^0(C^2, mF + r\nabla) \\ \cong H^0(C^2, mF + (r - 1)\nabla) \oplus H^0(\nabla, (2m - \gamma r)D_\nabla). \end{aligned}$$

- What happens when  $2m - \gamma r = 0$ ?

## The split long exact sequence

Suppose  $2m - \gamma r = 0$ .

- $H^0(\nabla, (2m - \gamma r)D_\nabla) = H^0(\nabla, \mathcal{O}_\nabla)$  has dimension 1.
- $H^1(C^2, mF + (r - 1)\nabla)$  is not necessarily zero.
- We can nevertheless show that that

$$H^0(C^2, mF + r\nabla) \setminus H^0(C^2, mF + (r - 1)\nabla) \neq \emptyset$$

by constructing an element explicitly.

## The split long exact sequence

So when  $2m - \gamma r = 0$  we still get an exact sequence

$$0 \rightarrow H^0(C^2, mF + (r-1)\nabla) \rightarrow H^0(C^2, mF + r\nabla) \rightarrow H^0(\nabla, \mathcal{O}_\nabla) \rightarrow 0$$

because the coboundary map

$$H^0(\nabla, \mathcal{O}_\nabla) \rightarrow H^1(C^2, mF + (r-1)\nabla)$$

is zero.

## Structure of $H^0(C^2, mF + r\nabla)$

### Theorem

Let  $m$  and  $r$  be integers satisfying  $m > \gamma$  and  $r \geq 0$ . We have

$$\begin{aligned} H^0(C^2, mF + r\nabla) \\ \cong H^0(C^2, mF) \oplus \bigoplus_{i=1}^r H^0(\nabla, (2m - \gamma i)D_\nabla). \end{aligned}$$

## Corollary

$$\begin{aligned}
 & h^0(C^2, mF + r\nabla) \\
 &= \begin{cases} (2m - \gamma)^2 + 4mr - \gamma r(r + 2) & \text{if } \gamma < 2m - \gamma r, \\ (2m - \gamma)^2 + 4mr - \gamma r(r + 1) - 2m + g & \text{if } 0 < 2m - \gamma r \leq \gamma, \\ (2m - \gamma)^2 + 2m(r - 2) + g + 1 & \text{if } 2m - \gamma r = 0, \text{ and} \\ h^0(C^2, mF + \lfloor \frac{2m}{\gamma} \rfloor \nabla) & \text{if } 2m - \gamma r < 0. \end{cases}
 \end{aligned}$$

## Structure of $H^0(S, 2m\Theta_S + r\nabla_S)$

### Theorem

Let  $m$  be an integer with  $m > \gamma$ . Then for all integers  $r \geq 0$ ,

$$H^0(S, 2m\Theta_S + r\nabla_S) \\ \cong H^0(S, 2m\Theta_S) \oplus \bigoplus_{i=1}^r H^0(\mathbb{P}^1, (2m - \gamma i)(\infty)).$$

## Dimension of $H^0(S, 2m\Theta_S + r\nabla_S)$

### Corollary

If  $2m - \gamma r \geq 0$ , then

$$\begin{aligned} h^0(S, 2m\Theta_S + r\nabla_S) \\ = \frac{(2m - \gamma)(2m - \gamma + 1)}{2} + r(2m + 1) - \gamma \frac{r(r + 1)}{2}. \end{aligned}$$

Otherwise

$$h^0(S, 2m\Theta_S + r\nabla) = h^0(S, 2m\Theta_S + \left\lfloor \frac{2m}{\gamma} \right\rfloor \nabla).$$

## $k[D_4]$ -module structure

Goal: an explicit basis for  $H^0(S, 2m\Theta_S + r\nabla_S)$ .

### Proposition

For any divisor  $D$  on  $S = C^2 / \langle \sigma \rangle$ ,

$$H^0(S, D) \cong H^0(C^2, \pi^* D)^\sigma.$$

Since  $\pi^*(2m\Theta_S + r\nabla_S) = mF + r\nabla$ , we reduce to the problem of computing  $H^0(C^2, mF + r\nabla)^\sigma$ .



## $k[D_4]$ -module structure

### Proposition

$$H^0(C^2, mF + r\nabla)^\sigma \cong W_{m+r,r}^{(-1)^r}$$

where  $W_{m+r,r}^{(-1)^r}$  denotes the subspace of  $H^0(C^2, (m+r)F - r\Delta)$  on which  $\sigma$  acts by  $(-1)^r$ .

This follows from the isomorphism

$$H^0(C^2, mF + r\nabla) \cong H^0(C^2, (m+r)F - r\Delta)$$

obtained from the relation  $F \sim \Delta + \nabla$ .

In a neighbourhood of  $\Delta$ 

- For any section  $w \in H^0(C^2, (m+r)F)$  we can consider the formal expansion

$$w = \sum_{i=0}^{\infty} (D^{(i)}w)(0)t^i$$

in a neighbourhood of  $\Delta$ . Here  $t = \frac{1}{2}(x_1 - x_2)$  is a uniformising parameter at  $\Delta$  and “ $D^{(i)}w = \frac{1}{i!} \frac{\partial w}{\partial t}$ ” is the  $i$ th Hasse derivative of  $w$  with respect to  $t$ .

- A section with valuation at least  $r$  on  $\Delta$  is one for which  $(D^{(i)}w)(0) = 0$  for  $i = 0, \dots, r-1$ .

In a neighbourhood of  $\Delta$ 

- We have reduced the problem to finding a basis of  $W_{m+r,r}^{(-1)^r}$ .
- But

$$W_{m+r,r}^{+1} = H^0(C^2, (m+r)F - r\Delta)^\sigma$$

$$W_{m+r,r}^{-1} = (x_1 - x_2)H^0(C^2, (m+r-1)F - r\Delta)^\sigma$$

are subspaces of  $H^0(C^2, (m+r)F) \cong H^0(C, (m+r)D_\infty)^{\otimes 2}$  of sections with valuation at least  $r$  on  $\Delta$ .

# An explicit description of the basis

- Define

$$\varphi_i: W_{m+r,0}^{(-1)^r} \rightarrow k(\Delta)$$

by sending a section  $w \in W_{m+r,0}^{(-1)^r} \subset H^0(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(s))$  to  $(D^{(i)}w)(0)$  (here  $s$  is of order  $m$ ).

- The image lies in a finitely generated subring.
- $\varphi_i$  is linear (being just a derivative and evaluation) and (after fixing bases) is given by a vector in  $k^u$  for some  $u$  (of order  $m^2$ ).
- The basis we desire is simply

$$\text{Ker}\left(\bigoplus_{i=0}^{r-1} \varphi_i\right) = \bigcap_{i=0}^{r-1} \text{Ker}(\varphi_i)$$

where  $\bigoplus_{i=0}^{r-1} \varphi_i$  is the matrix formed by the  $\varphi_i$ .

## Projective embeddings

- If  $C$  has genus  $g = 2$ , we obtain the well-known embedding of  $J_C$  in  $\mathbb{P}^{15}$  published by Cassels and Flynn. In the present work, this corresponds to calculating a basis of the space  $H^0(S, 4\Theta_S + 4\nabla_S)$ .

## The Fujita conjecture

- Let  $X$  be a smooth projective variety of dimension  $n$ , let  $K_X$  be a canonical divisor on  $X$  and let  $H$  be an ample divisor on  $X$ . Then  $K_X + \lambda H$  is very ample if and only if  $\lambda \geq n + 2$ .
- In 1988, Igor Reider demonstrated the Fujita conjecture in the case of surfaces.
- We can show that  $K_{C^2} = \gamma F$  is a canonical divisor on  $C^2$  and  $K_S = 2\gamma\Theta_S - \Delta_S$  is a canonical divisor on  $S$ .
- Hence we can now explicitly give several new embeddings of  $C^2$  and  $S$ .

## Codes on $C^2$ and $S$

- Bases of  $H^0(C^2, mF + r\nabla)$  and  $H^0(S, 2m\Theta_S + r\nabla_S)$  can be used to define codes.
- The analysis of these codes is yet to be done...

## Concluding remarks

There are several possible generalisations we might try:

- Similar results for elliptic curves are probably trivial to determine.
- Given a relatively explicit description of  $\text{End}(J_C)$  in terms of the intersection theory of the correspondences, can we find dimension formulae and explicit bases for arbitrary divisors on these surfaces? At least the Frobenius divisor in positive characteristic?
- Characteristic 2 will require new techniques.
- Higher symmetric products would allow us produce the birational maps  $C^{(g)} \rightarrow J_C$  to the Jacobian, but requires a much more sophisticated theory.