

# Design des automates algébriques pour les implémentations hardwares et softwares en cryptographie symétrique.

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## Common work with

François Arnault, Cédric Lauradoux, Marine Minier, Benjamin Pousse, Gaël Thomas, ...

### Main publications:

- Arnault F., Berger T. P., Minier M., Pousse B.: Revisiting LFSRs for Cryptographic Applications, IEEE Transactions on Information Theory , 57(12), p.8095-8113 (2011)
- Arnault F., Berger T. P., Lauradoux C., Minier M., Pousse B. A New Approach for FCSR, In Michael J. Jacobson Jr., Vincent Rijmen, Reihaneh Safavi-Naini editors, Selected Areas in Cryptography - SAC 2009,LNCS 5867: 433-448, Springer 2009.
- Arnault F., Berger T. P., Pousse B.: A matrix approach for FCSR automata, Cryptography and Communications, v.3 (2): p.109-139 (2011)

## 1 LFSM

- LFSM
- Implementation

## 2 AFSM

- I-adic
- Arithmetic
- AFSM

## 3 Examples of AFSM

- $\mathbb{F}_2$ : LFSRs
- $\mathbb{Z}$ : FCSRs
- $\mathbb{Z}[x]$ : Generalization

## Autonomous LFSM

An Autonomous Linear Finite State Machine (LFSM) of length  $n$ , with  $\ell$  outputs consists of:

- A set of  $n$  cells,  $m = (m_0, \dots, m_{n-1}) \in \mathbb{F}_2^n$ , called the set of states of the automaton.
- A linear transition function from  $\mathbb{F}_2^n$  to  $\mathbb{F}_2^n$ .
- A linear extraction function from  $\mathbb{F}_2^n$  to  $\mathbb{F}_2^\ell$ .

$T$ :  $n \times n$  matrix of the transition function,

$C$ :  $n \times \ell$  matrix of the extraction function,

- Initialization: state  $m(0) \in \mathbb{F}_2^n$  at time  $t = 0$
- From the state  $m(t)$  at time  $t$ , output:  $v(t) = Cm(t)$
- Compute a new state  $m(t + 1) = Tm(t)$

## An example: LFSR in Fibonacci mode

$$T_1 = \begin{pmatrix} 1 & & & & (0) \\ & 1 & & & \\ & & 1 & & \\ & & & 1 & \\ & (0) & & & 1 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 & 0 \end{pmatrix}$$

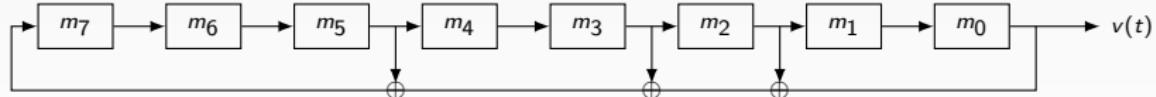
$$C_1 = (1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0)$$



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$$C_1 = (1 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0 \ 0)$$



## Another example: LFSR in Galois mode

$$T_2 = \begin{pmatrix} 0 & 1 & & & & & \\ 0 & & 1 & & & & (0) \\ 1 & & & 1 & & & \\ 0 & & & & 1 & & \\ 1 & & & & & 1 & \\ 1 & & (0) & & & & 1 \\ 0 & & & & & & 1 \\ 1 & & & & & & \end{pmatrix}$$

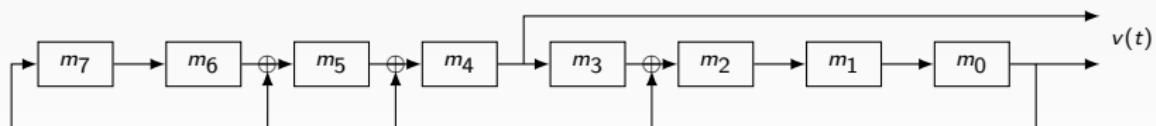
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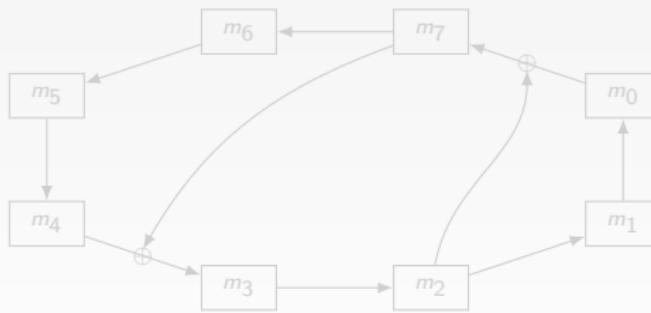
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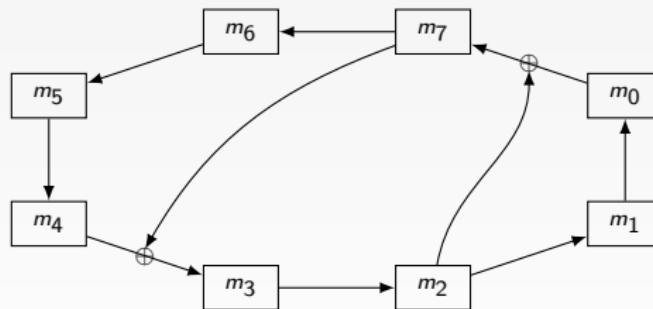
## A third example: LFSR in Ring mode

$$T_3 = \begin{pmatrix} 1 & & & & (0) \\ & 1 & & & \\ & & 1 & & \\ & & & 1 & \\ & (0) & & & \\ & & 1 & & \\ 1 & & & 1 & \\ & & & & 1 \end{pmatrix}$$



## A third example: LFSR in Ring mode

$$T_3 = \begin{pmatrix} 1 & & & (0) \\ & 1 & & \\ & & 1 & \\ & & & 1 \\ (0) & & & \\ & 1 & & \\ & & 1 & \\ 1 & & & 1 \end{pmatrix}$$



## Output of LFSMs

$$M_i(X) = \sum_{t=0}^{\infty} m_i(t)X^t$$
$$M = (M_0(X), \dots, M_{n-1}(X))$$

### Theorem

If the initial state of a LFSM is  $m = (m_0, \dots, m_{n-1})$  then

$${}^t M = \frac{\text{Adj}(I - XT)}{q(X)} {}^t m$$

with  $q(X) = \det(I - XT)$ .

If  $\det(T) \neq 0$  and  $q(X)$  primitive, then

$M_i(X) = p_i(X)/q(X)$   $m$ -sequences of period  $2^n - 1$ .

## Examples continued

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for  $i = 0, 2$  or  $3$ ,  $q_i(X) = \det(I - XT_i) = X^8 + X^6 + X^5 + X^3 + 1$

$$\text{Adj}(I - XT_3) =$$

$$\left( \begin{array}{ccccccccc} x^6 + x^3 + 1 & x^7 + x^4 + x & x^2 & x^3 & x^4 & x^5 & x^6 & x^7 + x^4 \\ x^7 + x^4 & x^6 + x^3 + 1 & x & x^2 & x^3 & x^4 & x^5 & x^6 + x^3 \\ x^6 + x^3 & x^7 + x^4 & 1 & x & x^2 & x^3 & x^4 & x^5 + x^2 \\ x^5 + x^2 & x^6 + x^3 & x^7 + x^5 + x^4 + x^2 & 1 & x & x^2 & x^3 & x^4 + x \\ x^4 & x^5 & x^6 + x^4 & x^7 + x^5 & x^5 + x^3 + 1 & x^6 + x^4 + 1 & x^7 + x^5 + x^2 & x^3 \\ x^3 & x^4 & x^5 + x^3 & x^6 + x^4 & x^7 + x^5 & x^5 + x^3 + 1 & x^6 + x^4 + x & x^2 \\ x^2 & x^3 & x^4 + x^2 & x^5 + x^3 & x^6 + x^4 & x^7 + x^5 & x^5 + x^3 + 1 & x \\ x & x^2 & x^3 + x & x^4 + x^2 & x^5 + x^3 & x^6 + x^4 & x^7 + x^5 & 1 \end{array} \right)$$

## LFSRs: for what purpose?

### Non cryptographic context

- Simulation: hight speed for random numbers generation (Monte Carlo method...)
- Initialization tests of arithmetic circuits in computers
- Implementation of counters...

### Cryptographic context

- basic building block for the design of automata in symmetric cryptography
- Good statistical properties
- Proved period...

### Depending on the target, 2 types of outputs

- One bit output
- Block of bits output

# Efficient implementations

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## Software applications

- Use the natural bloc structure (8, 16, 32, 64 bits) of the processor and assembly instructions
- Minimize the cycles: pipe-line optimizations, etc...

## Hardware applications

- Power consumption
- Area of the circuit, number of gates
- Minimize path, fan-out...

## A new concept: diffusion delay

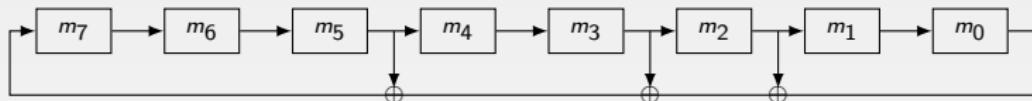
The diffusion delay is the smallest number  $d$ , such that there exist two cells  $m_i$  and  $m_j$  with the following property: the successive values  $m_j(0), \dots, m_j(d - 1)$  are independent of the value  $m_i(0)$ .

### Definition

Diffusion delay = Diameter of the graph of connection of the cells

# One bit output: hardware implementations

- Fibonacci



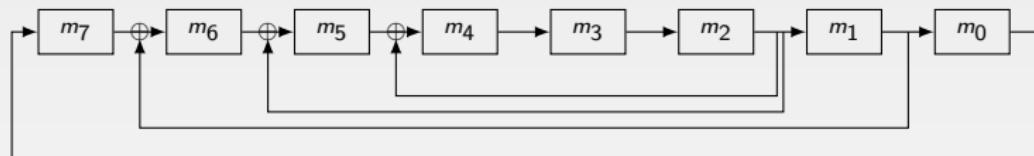
- Galois



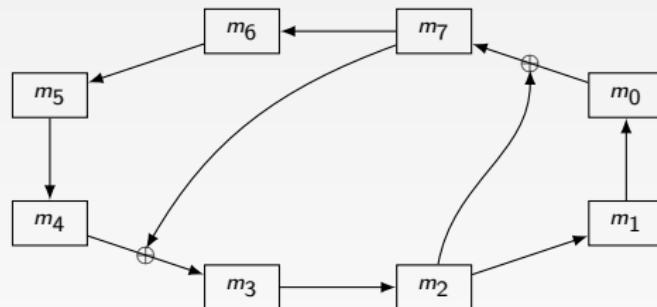
	Critical path	Fan-out	Cost	Diffusion delay
Galois	1	$\approx n/2$	$\approx n/2$	$n - 1$
Fibonacci	$\approx n/2$	2	$\approx n/2$	$n - 1$

# One bit output: hardware implementations

- G. Mrugalski, J. Rajska, and J. Tyszer, 2004



- Ring



	Critical path	Fan-out	Cost	Diffusion delay
Mrugalski & all	2	3	$\approx n/2$	$\approx n/2$
Optimal Ring	1	2	$\approx n/2$	$\approx n/4$

## Words oriented software implementations

- Twisted Generalized Feedback Shifts Registers  
Matsumoto & Kurita 1992, Matsumoto & Nishurima 1998

$$A = \begin{pmatrix} 0 & I_w & & & & \\ 0 & 0 & I_w & & (0) & \\ & & 0 & I_w & & \\ (0) & & & \ddots & \ddots & \\ & & & & 0 & I_w \\ I_w & 0 & \dots & L & 0 & 0 \end{pmatrix}$$

$I_w$ :  $w \times w$  identity matrix,     $L$ : a  $w \times w$  binary matrix.

## Words oriented software implementations

---

- Multiple-Recursive Matrix Method      H. Niederreiter 1995,  
see also Marsaglia 2003 (Xorshift PRNG)

$$A = \begin{pmatrix} 0 & I_w & & & & \\ & 0 & I_w & & (0) & \\ & & 0 & I_w & & \\ (0) & & & \ddots & \ddots & \\ & & & & 0 & I_w \\ A_r & A_{r-1} & A_{r-2} & \dots & A_2 & A_1 \end{pmatrix}$$

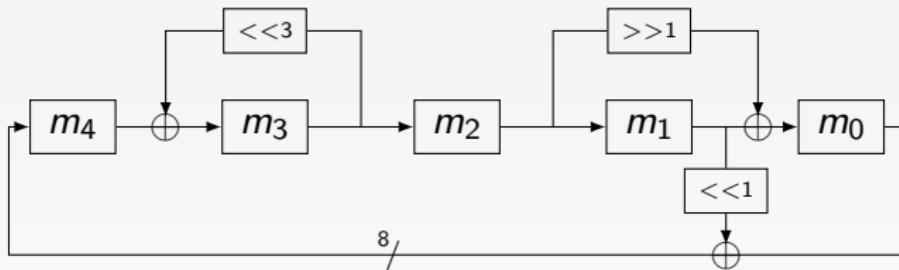
$I_w$ :  $w \times w$  identity matrix,     $A_i$ : software efficient transformations (right or left shifts, word rotations).

$$q(X) = \det(I - XA) = \det \left( I + \sum_{j=1}^r X^j A_j \right)$$

# Word-oriented ring LFSRs

- F. Arnault, T.P. B., M. Minier, P. Pousse, 2011

A small example:  $A = \begin{pmatrix} I_8 & R^1 \\ & I_8 \\ & & I_8 \\ & & & L^3 & I_8 \\ I_8 & L^1 & & & \end{pmatrix}$



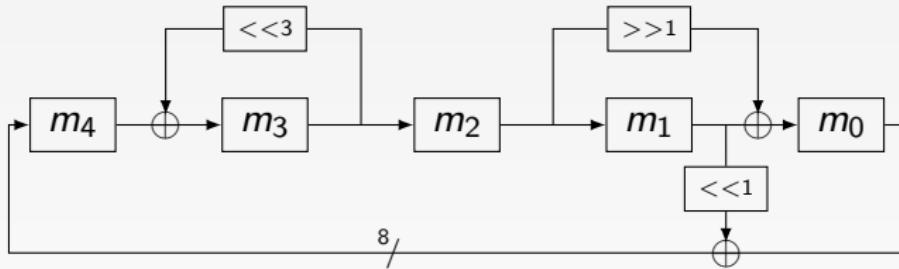
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**Problem** How to construct good word-ring LFSRs ?

## I-adic topology

$\mathcal{A}$ : unitary commutative ring.  $\mathcal{I} = \langle \pi \rangle$  such that

- $\pi$  is not a 0 divisor.
- $\bigcap_{n \in \mathbb{N}} \mathcal{I}^n = \{0\}$

Ultrametric distance:

$$d(x, y) = \begin{cases} 2^{-k} & \text{if } x \neq y \quad \text{with } k = \max\{n \in \mathbb{N}, x - y \in \mathcal{I}^n\} \\ 0 & \text{if } x = y \end{cases}$$

Associated  $I$ -adic ( $\pi$ -adic) topology.

Topologic completion of  $\mathcal{A}$ :  $\mathcal{A}_{\mathcal{I}}$  (or  $\mathcal{A}_{\pi}$ ).

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## mod<sub>π</sub> function

---

Suppose that there exist 2 functions

$$\text{mod}_\pi : \mathcal{A} \longrightarrow \mathcal{S} \subseteq \mathcal{A}$$

$$\text{div}_\pi : \mathcal{A} \longrightarrow \mathcal{A}$$

such that

$$a = \pi \text{div}_\pi(a) + \text{mod}_\pi(a) \text{ for all } a$$

Set  $\mathcal{S} = \text{mod}_\pi(\mathcal{A})$ .

More requirement:

- $\mathcal{S}$  is a set of representatives of  $\mathcal{A}/(\pi)$
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# Convergence

For  $a \in \mathcal{A}$ , set  $\text{seq}_\pi(a) = (s_n)_{n \in \mathbb{N}}$  with

$$s_n = \text{mod}_\pi(\text{div}_\pi^n(a)).$$

## Theorem

Set  $a \in \mathcal{A}$  and  $s = \text{seq}_\pi(a)$ . The series  $\sum_{n \in \mathbb{N}} s_n \pi^n \in \mathcal{A}_\pi$  is convergent in  $\mathcal{A}_\pi$ , moreover  $a = \sum_{n \in \mathbb{N}} s_n \pi^n$ .

# “Integers”

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## Integers

$$\mathcal{F} = \{a \in \mathcal{A}_\pi \mid \exists n \in \mathbb{N}^*, \text{div}_\pi^n(a) = 0\}.$$

## Signed integers

$$\mathcal{Z} = \{a - b \mid a, b \in \mathcal{F}\}.$$

## arithmetic

If  $\mathcal{S}$  is finite, elements of  $\mathcal{Z}$  are representable on computers

If  $s + t$  and  $st$  are in  $\mathcal{Z}$  and known, it is possible to provide an effective arithmetic on  $\mathcal{Z}$ .

## Periodic elements

Set  $\mathcal{P}$  the set of periodic elements of  $\mathcal{A}_\pi$ , i.e. such that  $\text{seq}_\pi(a)$  is ultimately periodic.

### Lemma

$$\mathcal{P} = \{p = a' p(T) + a''\}$$

with  $a', a'' \in \mathcal{Z}$  and  $p(T) = \sum_{i=0}^{\infty} p^{iT}$  ( $= 1/(1 - p^T)$ ).

### Proposition

$$\mathcal{Z} \subseteq \mathcal{P}.$$

## Rational elements

Set  $\mathcal{Q} = \{u/v \mid u, v \in \mathcal{Z}, v \text{ invertible in } \mathcal{Z}_\pi\}$ .

### Lemma

$$\begin{aligned} a \in \mathcal{Z} \text{ is invertible in } \mathcal{Z}_\pi \\ \Leftrightarrow \\ s_0 = \text{mod}_\pi(a) \text{ is invertible in } \mathcal{Z}. \end{aligned}$$

### Proposition

$$\mathcal{Q} = \{u/(1 + \pi v) \mid u, v \in \mathcal{Z}\}$$

### Proposition

$$\mathcal{P} \subseteq \mathcal{Q}.$$

# AFSM automata

## Definition

An algebraic automata on  $\mathbb{Z}$  of size  $n \in \mathbb{N}^*$  is constituted of

- a set of states  $(m, c) \in \mathcal{S}^n \times \mathcal{A}_\pi^n$
- a transition function given by a  $n \times n$  matrix  $T$  with coefficients in  $\mathcal{A}_\pi$ .

If the automaton is in the state  $m(t), c(t)$  at times  $t$ , then

$$\begin{cases} z(t+1) &= Tm(t) + c(t) \\ m(t+1) &= \text{mod}_\pi(z(t+1)) \\ c(t+1) &= \text{div}_\pi(z(t+1)) \end{cases}$$

## AFSM automata

$M(t) = (M_0(t), \dots, M_{n-1}(t))$  is the  $n$ -tuple of  $\pi$ -adic integers observed in the cells  $m_0, m_{n-1}$  from time  $t$ .

### Proposition

$$M(t+1) = TM(t) + c(t).$$

### Theorem

If the automaton is in state  $(m, c)$  at time  $t_0$ , then

$$M(t_0) = \frac{\text{adj}(I - \pi T)}{\det(I - \pi T)} \cdot (m(t_0) + \pi c(t_0))$$

**Problem:** an algebraic automaton is not necessarily finite.

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## Example: $\mathcal{A} = \mathbb{F}_2[x]$

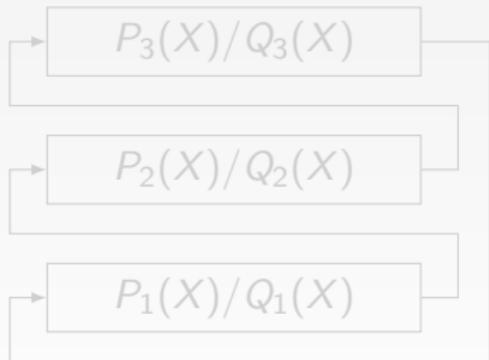
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- $\mathcal{A} = \mathbb{F}_2[x]$ ,  $\pi = x$ ,  $T$  with coefficients in  $\mathbb{F}_2$   
 $\Rightarrow$  classical binary LFSRs (or LFSMs).
- $\mathcal{A} = \mathbb{F}_2[x]$ ,  $\pi = x^d$ ,  $T$  with coefficients  $t_{i,j}(x)$ ,  $\deg(t_{i,j}) < d$   
 $\Rightarrow$   $d$ -parallelized binary LFSRs .
- $\mathcal{A} = \mathbb{F}_2[x]$ ,  $\pi = x$  and  $T$  with rational coefficients  
 $\Rightarrow$  Global definition of binary LFSRs .

## An example of global description

$$T = \begin{pmatrix} 0 & P_1(X)/Q_1(X) & 0 \\ 0 & 0 & P_2(X)/Q_2(X) \\ P_3(X)/Q_3(X) & 0 & 0 \end{pmatrix}$$

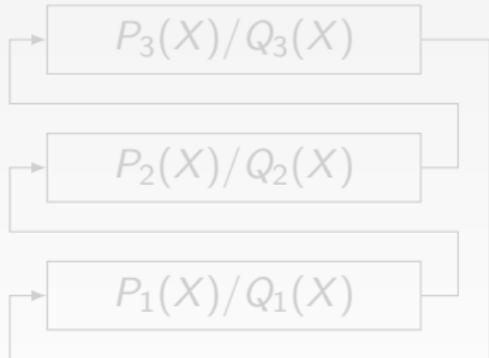
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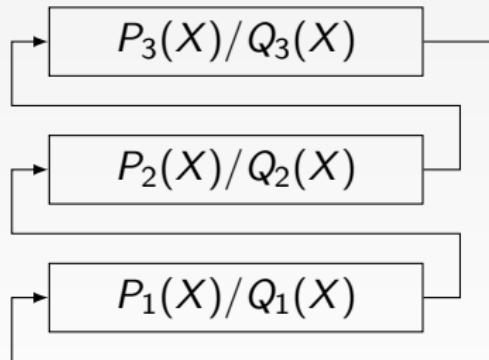


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## Example: $\mathcal{A} = \mathbb{Z}$

---

- $\pi = 2$ ,  $T$  binary  
⇒ 2-adic integers, classical FCSRs (Feedback with Carry Shift Registers).
- $\pi = 2$ ,  $T$  with coefficients in  $\mathbb{Z}$ : a more general framework can be always realized with binary FCSRs

All the software or hardware oriented design of LFSRs can be directly applied to FCSRs!

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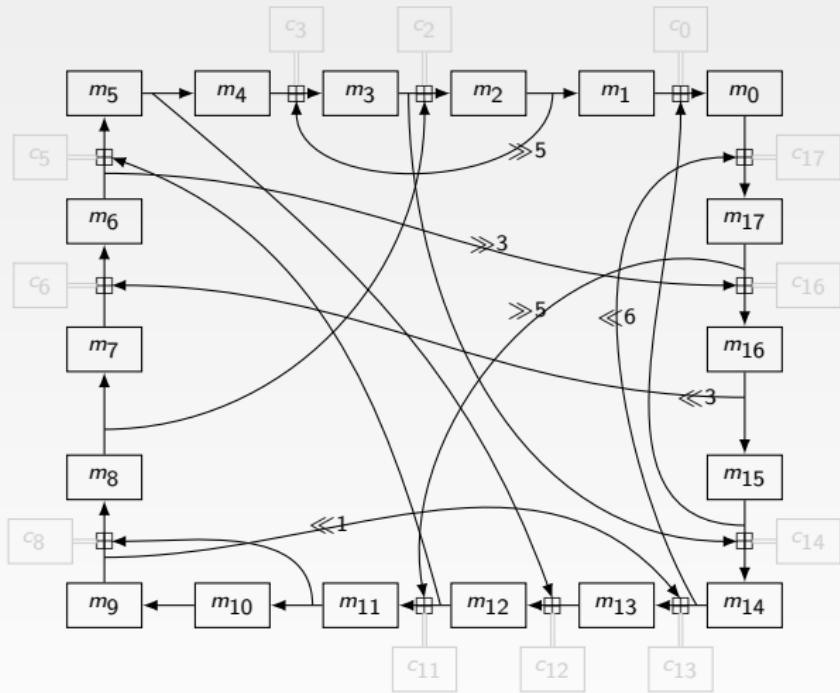
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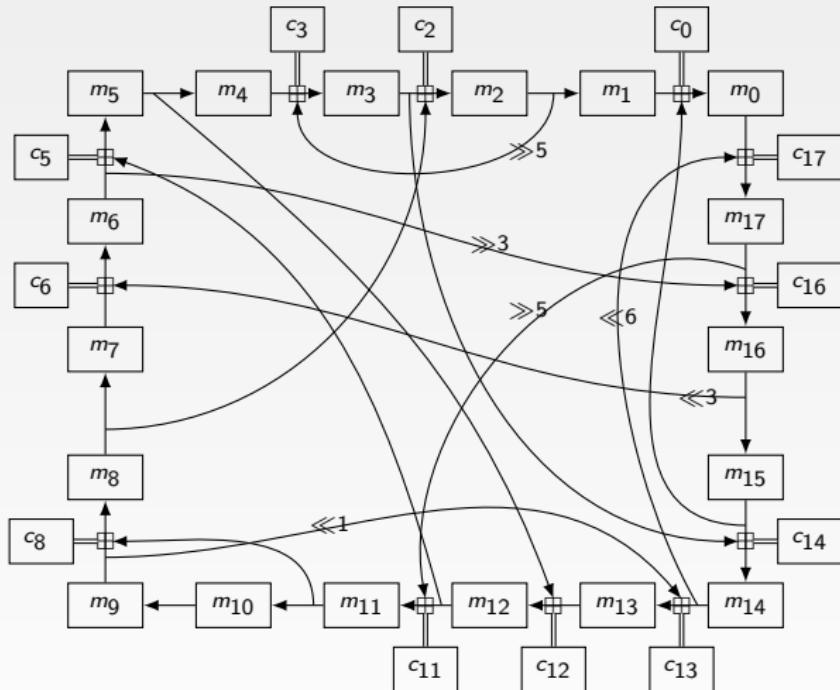
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## FCSR automaton for GLUON 64



18 blocs of 8 bits  
FCSR of 144 bits  
+ 73 bits of carries

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## Corresponding matrix



## Example: $\mathcal{A} = \mathbb{Z}[x]/p(x)$ , $p(x)$ unitary

- $p(x) = x^d - \sum_{i=0}^{d-1} \epsilon_i x^i$ ,  $\epsilon_i \in \{0, 1\}$ ,  $\pi = 2$ .

$\Rightarrow V$ -FCSRs introduced by Allailou, Marjane and Mokrane for Galois and Fibonacci mode.

Can be generalized to any mode.

In fact, the practical implementation of  $V$ -FCSRs is nothing else than non-optimal binary FCSRs.<sup>1</sup>

- $p(x) = x^d - n$ ,  $\pi = x$ .

$\Rightarrow \mathcal{A} = \mathbb{Z}[\sqrt[d]{n}]$  and  $\pi = \sqrt[d]{n}$ . Generalization introduced by Klapper et Goresky.

Decimation of sequences leads to classical FCSRs.

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<sup>1</sup>Berger T.P., Minier M., Cryptanalysis of Pseudo-random Generators Based on Vectorial FCSRs, Indocrypt 2012, Kolkata.

## Example: $\mathcal{A} = \mathbb{Z}[x]/p(x)$ , $p(x)$ unitary

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- $p(x) = x^d - \sum_{i=0}^{d-1} \epsilon_i x^i$ ,  $\epsilon_i \in \{0, 1\}$ ,  $\pi = 2$ .
  - $\Rightarrow V$ -FCSRs introduced by Allailou, Marjane and Mokrane for Galois and Fibonacci mode.
  - Can be generalized to any mode.
  - In fact, the practical implementation of  $V$ -FCSRs is nothing else than non-optimal binary FCSRs.<sup>1</sup>
- $p(x) = x^d - n$ ,  $\pi = x$ .
  - $\Rightarrow \mathcal{A} = \mathbb{Z}[\sqrt[d]{n}]$  and  $\pi = \sqrt[d]{n}$ . Generalization introduced by Klapper et Goresky.
  - Decimation of sequences leads to classical FCSRs.

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<sup>1</sup>Berger T.P., Minier M., Cryptanalysis of Pseudo-random Generators Based on Vectorial FCSRs, Indocrypt 2012, Kolkata.

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## A new example?

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$$\mathcal{A} = \mathbb{Z}[x]/p(x), \quad p(x) = \pi(X)^d - N \text{ unitary}$$

- In this case, AFSM are finite automata
- It seems that they cannot be reduced to classical FCSRs.
- Reconstruction algorithms for such sequences?